



Estriol combined with glatiramer acetate for women with relapsing-remitting multiple sclerosis: a randomised, placebo-controlled, phase 2 trial

Rhonda R Voskuhl, Hejing Wang, T C Jackson Wu, Nancy L Sicotte, Kunio Nakamura, Florian Kurth, Noriko Itoh, Jenny Bardens, Jacqueline T Bernard, John R Corboy, Anne H Cross, Suhayl Dhib-Jalbut, Corey C Ford, Elliot M Frohman, Barbara Giesser, Dina Jacobs, Lloyd H Kasper, Sharon Lynch, Gareth Parry, Michael K Racke, Anthony T Reder, John Rose, Dean M Wingerchuk, Allan J MacKenzie-Graham, Douglas L Arnold, Chi Hong Tseng, Robert Elashoff

Summary

Background Relapses of multiple sclerosis decrease during pregnancy, when the hormone estriol is increased. Estriol treatment is anti-inflammatory and neuroprotective in preclinical studies. In a small single-arm study of people with multiple sclerosis estriol reduced gadolinium-enhancing lesions and was favourably immunomodulatory. We assessed whether estriol treatment reduces multiple sclerosis relapses in women.

Methods We did a randomised, double-blind, placebo-controlled phase 2 trial at 16 academic neurology centres in the USA, between June 28, 2007, and Jan 9, 2014. Women aged 18–50 years with relapsing-remitting multiple sclerosis were randomly assigned (1:1) with a random permuted block design to either daily oral estriol (8 mg) or placebo, each in combination with injectable glatiramer acetate 20 mg daily. Patients and all study personnel, except for pharmacists and statisticians, were masked to treatment assignment. The primary endpoint was annualised relapse rate after 24 months, with a significance level of $p=0\cdot10$. Relapses were confirmed by an increase in Expanded Disability Status Scale score assessed by an independent physician. Analysis was by intention to treat. The trial is registered with ClinicalTrials.gov, number NCT00451204.

Findings We enrolled 164 patients: 83 were allocated to the estriol group and 81 were allocated to the placebo group. The annualised confirmed relapse rate was $0\cdot25$ relapses per year (95% CI $0\cdot17\text{--}0\cdot37$) in the estriol group versus $0\cdot37$ relapses per year ($0\cdot25\text{--}0\cdot53$) in the placebo group (adjusted rate ratio $0\cdot63$, 95% CI $0\cdot37\text{--}1\cdot05$; $p=0\cdot077$). The proportion of patients with serious adverse events did not differ substantially between the estriol group and the placebo group (eight [10%] of 82 patients vs ten [13%] of 76 patients). Irregular menses were more common in the estriol group than in the placebo group (19 [23%] vs three [4%], $p=0\cdot0005$), but vaginal infections were less common (one [1%] vs eight [11%], $p=0\cdot0117$). There were no differences in breast fibrocystic disease, uterine fibroids, or endometrial lining thickness as assessed by clinical examination, mammogram, uterine ultrasound, or endometrial lining biopsy.

Interpretation Estriol plus glatiramer acetate met our criteria for reducing relapse rates, and treatment was well tolerated over 24 months. These results warrant further investigation in a phase 3 trial.

Funding National Institutes of Health, National Multiple Sclerosis Society, Conrad N Hilton Foundation, Jack H Skirball Foundation, Sherak Family Foundation, and the California Community Foundation.

Introduction

Multiple sclerosis is an autoimmune, neurodegenerative disease of the CNS.¹ Relapses are decreased by more than 70% during the last trimester of pregnancy,² when oestrogen and progesterone concentrations are highest.³ Pregnancy is a state of temporary immune modulation enabling survival of the fetus as a half-foreign allograft.⁴ Other cell-mediated autoimmune diseases, such as psoriasis and rheumatoid arthritis, also improve during pregnancy.⁵

Estriol is an oestrogen unique to pregnancy, made by the fetal-placental unit, and reaches highest concentrations in the last trimester. We postulated that increased concentrations of estriol might mediate a decrease in relapses. Preclinical studies of multiple sclerosis showed

that estriol treatment has both anti-inflammatory and neuroprotective properties, mediated through binding to oestrogen receptors expressed in the immune system and the CNS.⁶ In a small phase 2, single-arm, crossover clinical trial⁷ of estriol treatment for ten women with multiple sclerosis, monthly brain MRI showed significant reductions in gadolinium-enhancing lesions during 6 months of treatment compared with 6 months before treatment. Peripheral blood mononuclear cells had significantly increased expression of interleukin 5 and interleukin 10 and decreased concentrations of tumour necrosis factor (TNF) α and matrix metalloproteinase 9.^{8,9} When estriol treatment was discontinued for 6 months, both enhancing lesions and immune responses returned to pre-treatment levels. Furthermore, when estriol was

Lancet Neurol 2016; 15: 35–46

Published Online
November 24, 2015
[http://dx.doi.org/10.1016/S1474-4422\(15\)00322-1](http://dx.doi.org/10.1016/S1474-4422(15)00322-1)

See [Comment](#) page 22

David Geffen School of Medicine at the University of California, Los Angeles, Los Angeles, CA, USA

(Prof R R Voskuhl MD, H Wang MD, T C J Wu MD, F Kurth PhD, N Itoh MS, J Bardens RN, Prof B Giesser MD, A J MacKenzie-Graham PhD, C H Tseng PhD, Prof R Elashoff PhD);

Cedars-Sinai Medical Center, Los Angeles, CA, USA

(N L Sicotte MD); McGill University, Montreal, QC, Canada (K Nakamura PhD, Prof D L Arnold MD); University of Chicago Medical Center, Chicago, IL, USA

(J T Bernard MD, Prof A T Reder MD); University of Colorado Denver, Aurora, CO, USA (Prof J R Corboy MD);

Washington University School of Medicine, St Louis, MO, USA (Prof A H Cross MD); Rutgers

Robert Wood Johnson Medical School, New Brunswick, NJ, USA (Prof S Dhib-Jalbut MD);

University of New Mexico Health Sciences Center, Albuquerque, NM, USA

(Prof C C Ford MD); University of Texas Southwestern, Dallas, TX, USA

(Prof E M Frohman MD); University of Pennsylvania Perelman School of Medicine, Philadelphia, PA, USA

(D Jacobs MD); Geisel School of Medicine, Dartmouth College, Hanover, NH, USA (Prof

L H Kasper MD); University of Kansas Medical Center, Kansas City, KS, USA (Prof S Lynch MD);

University of Minnesota, Minneapolis, MN, USA

(Prof G Parry MD); Wexner Medical Center, The Ohio State

University, Columbus, OH, USA
(Prof M K Racke MD); Salt Lake
City VA Medical Center, Salt
Lake City, UT, USA
(Prof J Rose MD); and Mayo
Clinic Scottsdale, Scottsdale,
AZ, USA
(Prof D M Wingerchuk MD)
Correspondence to:
Prof Rhonda R Voskuhl,
635 Charles E Young Drive South,
Neuroscience Research
Building 1, Room 475D,
University of California,
Los Angeles, CA 90095, USA
rvoskuhl@ucla.edu

Research in context

Evidence before this study

We searched PubMed for studies published between Jan 1, 1980, and June 25, 2015, with the terms “multiple sclerosis” and “estriol”. We included clinical trials, clinical observations, and preclinical studies, both in vitro and in vivo in animals and human beings. We also searched abstracts from the American and the European Committees for Treatment and Research in Multiple Sclerosis from the past 5 years. Besides laboratory studies, we found one single-arm, crossover clinical trial of estriol for multiple sclerosis. ClinicalTrials.gov lists an ongoing double-blind, placebo-controlled trial of estriol treatment for relapsing-remitting and progressive multiple sclerosis with cognitive testing as the primary outcome (registration number NCT01466114).

Added value of this study

This study is the first randomised, placebo-controlled trial of oral estriol treatment for women with relapsing-remitting multiple sclerosis to be completed. We showed the safety and beneficial effects on relapse rates compared with placebo.

Implications of all the available evidence

These findings are consistent with the hypothesis that increased concentrations of estriol during pregnancy might mediate, at least in part, the protective effect of pregnancy on relapse rates. A phase 3 study of estriol in relapsing-remitting multiple sclerosis is needed to test these findings and to explore potential effects on disabilities.

administered again for 4 additional months, combined with a progestin for uterine protection,¹⁰ the reduction in enhancing lesions and immunomodulation returned.^{7–9}

Estriol has been used for several decades throughout Europe and Asia for treating menopausal symptoms.^{11–13} A Women’s Health Initiative study¹⁴ done in 2002 to assess whether premarin (a complex mix of conjugated oestrogens) protects against coronary heart disease in menopausal women aged 50–79 years was stopped prematurely because of an increased risk for cardiovascular disease and breast cancer, whereas the number of colorectal cancers and hip fractures decreased. Premarin includes estradiol, an oestrogen that is present at low concentrations in women with normal menstrual cycles and in oral contraceptives. Oral contraceptives have also been associated with cardiovascular risks in non-menopausal women, particularly in those who smoke. Whether oral contraceptives are a cause of breast cancer or if they enable breast cancer to be detected earlier is unclear.¹⁵ The distinction between estradiol and estriol is important, because oestrogens are not all alike.¹⁶ Estriol binds to ER α and ER β weaker than does estradiol, and it binds to ER β stronger than it does to ER α .¹⁷ Oestrogenic effects on breast cancer and cardiovascular disease are mediated by ER α . Indeed, ER β binding can antagonise ER α binding in some tissues,¹⁷ with estriol treatment being protective in preclinical models of breast cancer.¹⁸ Finally, estradiol also induces uterine endometrial proliferation, which can lead to cancer.¹⁹ Thus, women taking oral contraceptives or hormone replacement therapy are not treated with unopposed oestrogens,¹⁰ but rather in combination with a progestin to protect the uterus.¹⁵ Estriol is a weaker stimulator of endometrial proliferation than is estradiol, such that it can be taken unopposed for up to a year, but after that, it too should be taken in combination with a progestin for uterine protection.¹⁵

Here, we report results of a phase 2 clinical trial to test the safety and efficacy of oral estriol as an add-on

treatment in women with relapsing-remitting multiple sclerosis.

Methods

Study design and participants

We did this double blind, placebo-controlled, randomised, parallel group trial at 16 academic neurology clinics in the USA, starting on June 28, 2007, with the last clinic visit on Jan 9, 2014, and last follow-up questionnaire on July 10, 2014. Eligible patients were women aged 18–50 years, with a diagnosis of relapsing-remitting multiple sclerosis according to the McDonald criteria,²⁰ a baseline score of 0–4.5 on the Expanded Disability Status Scale (EDSS), and relapsing disease activity in the previous 24 months. Key exclusion criteria were progressive multiple sclerosis, taking glatiramer acetate for more than 2 months before randomisation, currently smoking, and taking other concurrent disease-modifying or hormonal treatments (appendix pp 6–8). The study was approved by the ethics committee at each site and participants provided written informed consent at screening. The National Institutes of Health appointed a data and safety monitoring board, which monitored safety and efficacy (appendix p 4) with representatives from the National Institutes of Health and the National Multiple Sclerosis Society serving as observing members. The protocol is available online.

Randomisation and masking

A statistician who had no further role in the trial randomly assigned patients (1:1) to receive oral estriol (8 mg daily) or oral placebo (matched by appearance and taste) using a computer-generated code with random permuted block design (block size six). We stratified randomisation by glatiramer acetate treatment during screening, using Zelen’s method²¹ to ensure that treatment assignment was balanced within each clinic.

Patients, treating physicians, and all investigators assessing outcomes were masked to treatment assignment.

See Online for appendix

For the **protocol** see http://neurology.ucla.edu/media/attachments/files/51/Estriol_Relapse_Trial_Protocol.pdf

Study statisticians and pharmacy staff were not masked to treatment, but they had no interaction with patients.

Procedures

All patients started glatiramer acetate injections (20 mg/day) within 2 months of randomisation. Patients provided their own glatiramer acetate. To avoid patients taking unopposed oestrogens, patients in the estriol group also received a progestin (norethindrone 0·7 mg) daily for 2 weeks every 3 months, starting at 6 months; patients in the placebo group received a second placebo matched to progestin. After 24 months of treatment, a 4-week taper began for both estriol and placebo (appendix p 21).

Examining neurologists did the EDSS assessments, whereas treating neurologists managed patient care including treatment of relapses, and gynaecologists managed gynaecological issues. The appendix pp 9–14 shows details of the blood laboratory tests for safety, methods for measuring estriol blood concentration, MRI analyses of enhancing lesions⁷ and brain volumes,^{22,23} and voxel-based morphometry for regional loss of grey matter.²⁴

Outcomes

The primary endpoint was annualised confirmed relapse rate at 24 months. A confirmed relapse was defined as new neurological symptoms or worsening of pre-existing symptoms, lasting at least 48 h in a participant who had been neurologically stable or improving in the previous 30 days, accompanied by an objective neurological change (worsening by 0·5 points on the EDSS or worsening by $\geq 1\cdot0$ points on the pyramidal, cerebellar, brainstem, or visual functional system scores),²⁵ not due to fatigue alone and not associated with fever or infection.

The secondary endpoints were time to first confirmed relapse, annualised relapse event rate, and time to first relapse event, all at 24 months. A relapse event was defined as meeting the criteria for a confirmed relapse without documentation of a change in EDSS score. Other prespecified outcomes included safety, blood estriol concentration (at 3 months, 6 months, 12 months, 18 months, and 24 months), proportion of patients with confirmed disability progression (defined as an increase of EDSS of $\geq 1\cdot0$ point in participants with baseline score of $\geq 1\cdot0$, or an increase of $\geq 1\cdot5$ points for those with a baseline score of 0, each sustained for at least 6 months), change from baseline in EDSS score, Modified Fatigue Impact Scale (MFIS) score, Beck Depression Inventory (BDI) score, Multiple Sclerosis Quality of Life (MS QoL) score, Multiple Sclerosis Functional Composite (MSFC) score, and Paced Auditory Serial Addition Test (PASAT) score, as well as enhancing lesions, T2 lesions, and brain volume by MRI at 24 months.

All analyses were planned at 24 months, the end of the study. We also did analyses at 12 months. The National Institutes of Health would not fund a trial with a placebo-

only comparator arm for this duration because approved treatments are available. However, because glatiramer acetate takes 9 months to reach full potency,²⁶ the first 9 months of the study approximated a comparison of estriol only with placebo only, which could be captured by an analysis at 12 months.

We did post-hoc analyses of whole grey matter volume, cortical grey matter volume, white matter volume, PASAT scores stratified by median PASAT score at baseline, brain volumes by MRI stratified by presence or absence of enhancing lesions, proportion of patients with enhancing lesions, correlation of estriol concentration with relapses and with enhancing lesions, and correlation of PASAT scores with grey matter volumes (appendix pp 10,11).

Statistical analysis

We calculated that we would need a sample size of 150 patients to provide 80% power to detect a 33% reduction in relapse rates in the estriol group compared with the placebo group (0·75 in the estriol group vs 1·18 in the placebo group) at 24 months with a two-sided significance level of 0·10.

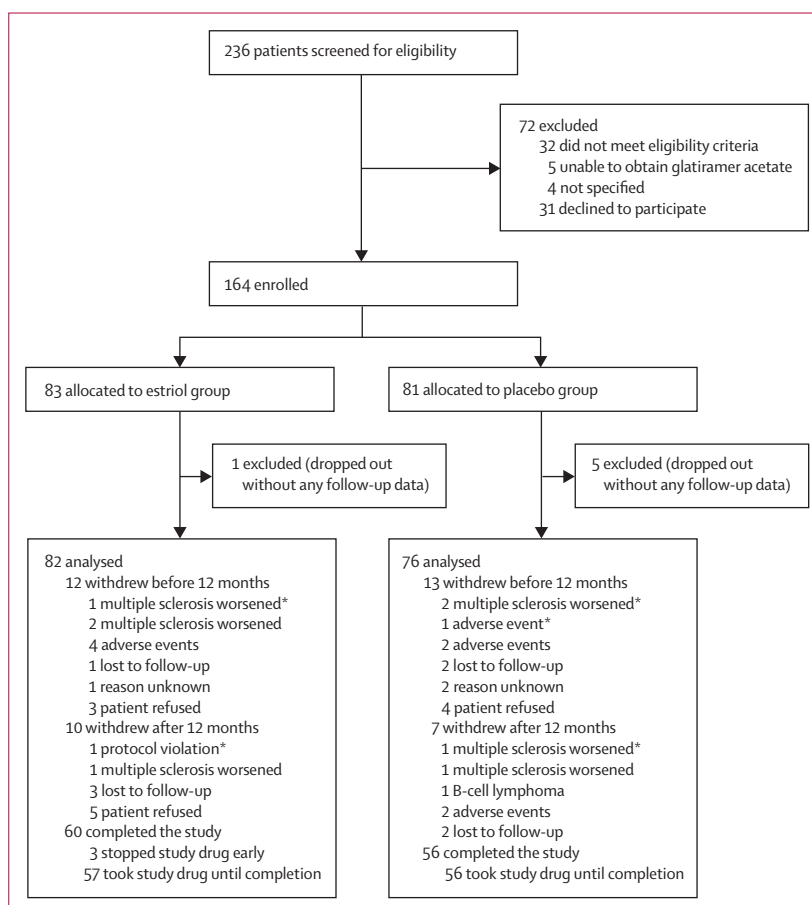


Figure 1: Trial profile

*Investigator's decision to withdraw.

We assessed the difference in annualised confirmed relapse rates between groups with a negative binomial regression model adjusted for age, baseline EDSS score (<2 vs ≥2), number of relapses in the 12 months before the study (≤1 vs >1), time since diagnosis (<1 vs ≥1 year), previous glatiramer acetate treatment (none vs previous or current), and previous interferon beta treatment (yes vs no). Annualised relapse rates included all events for the entire 24 months or those occurring until the last visit adjusted for the time for which the participant was in the study.

Phase 2 trials of relapsing-remitting multiple sclerosis often have a biomarker as their primary endpoint. By contrast, we used a clinical outcome for three reasons: the National Institutes of Health want to focus on relapses as a basis for powering a future phase 3 trial,

pregnancy decreases relapses,² and a small phase 2 trial with monthly MRI scans has already been done.⁷ Because this trial was phase 2, we used a significance level of $\alpha=0.10$ for all analyses, as has been used in cancer trials²⁷ and in phase 2 trials of stroke,²⁸ amyotrophic lateral sclerosis,²⁹ and Parkinson's disease.³⁰ The use of $p<0.10$ as significant in phase 2 trials was considered stringent enough to assess the potential for clinical efficacy of a new intervention, while controlling for false positives and avoiding the much higher costs of the larger sample sizes needed to achieve a p value of less than 0.05.^{27,28}

For the analysis of time to first relapse, we used Kaplan-Meier analysis and log-rank tests to estimate and compare the proportion of patients with first relapse at each timepoint. We used a Cox proportional hazards model to compare the proportion of patients with relapse at 12 months and 24 months, adjusting for age, baseline EDSS score (<2 vs ≥2), number of relapses in the 12 months before the study (≤1 vs >1), duration of multiple sclerosis (<1 vs ≥1 year), previous glatiramer acetate treatment (never vs past or current), and previous interferon treatment (yes vs no). We used mixed effects models to analyse repeated measurement outcomes with the random effect of participant to account for within patient correlation. For the exploratory analyses of EDSS, PASAT, fatigue, depression, and quality of life,³¹ we used a linear mixed effects model to compare treatment groups at 12 months and 24 months. We used a mixed effects negative binomial regression model and linear mixed effects model to compare enhancing lesion number and volume (log-transformed) between treatment groups at all follow-up visits, and a mixed effects logistic model to compare the number of participants with gadolinium-enhancing lesions, with a linear mixed effects model to compare the percentage change in brain volumes between treatment groups. We also used a mixed effects logistic regression model to assess the association between the number of enhancing lesions and the occurrence of relapses and estriol concentrations. Finally, we used a linear mixed effects model to assess the association between PASAT change and percentage brain volume change, between PASAT change and estriol concentration, and between compliance and estriol concentration. We did all the analyses for the intention-to-treat population, which included all patients who were enrolled and for whom data existed after taking at least one dose of study drug. The appendix shows details of the sensitivity analyses.

This study is registered with ClinicalTrials.gov, number NCT00451204.

Role of the funding source

None of the funding sources had any role in the collection, analysis, or interpretation of data, or writing of the article. The National Institutes of Health had a role

| | Estriol group (n=82) | Placebo group (n=76) |
|--|-------------------------|-------------------------|
| Age (years) | 37.7 (7.6) | 37.1 (7.3) |
| Ethnic origin* | | |
| White | 65 (79%) | 62 (82%) |
| Black | 9 (11%) | 7 (9%) |
| Hispanic | 7 (9%) | 6 (8%) |
| Other | 1 (1%) | 1 (1%) |
| Time since diagnosis (years) | 3.3 (4.6) | 2.9 (4.5) |
| Number of previous relapses | | |
| Within 1 year before screening | 1.5 (0.7) | 1.5 (0.7) |
| Within 2 years before screening | 2.0 (0.7) | 2.3 (0.9) |
| Previous glatiramer treatment | | |
| Never | 25 (30%) | 27 (36%) |
| Before screening | 17 (21%) | 6 (8%) |
| During screening | 40 (49%) | 43 (57%) |
| Previous treatment with any interferon beta?† | | |
| No | 59 (72%) | 50 (66%) |
| Yes | 23 (28%) | 26 (34%) |
| Mean EDSS score‡ | 2.2 (1.2) | 2.1 (1.1) |
| EDSS score at baseline | | |
| 0 | 9 (11%) | 6 (8%) |
| 1.0 or 1.5 | 16 (20%) | 21 (28%) |
| 2.0 or 2.5 | 27 (33%) | 24 (32%) |
| 3.0 or 3.5 | 25 (30%) | 22 (29%) |
| 4.0 | 4 (5%) | 2 (3%) |
| 5.5 | 1 (1%)§ | 1 (1%)§ |
| Number of gadolinium-enhancing lesions | 1.0 (2.3) | 0.9 (2.1) |
| Active lesions on brain MRI? | | |
| No | 55 (67%) | 53 (70%) |
| Yes | 26 (32%) | 22 (29%) |
| Volume of lesions on T2-weighted images (cm ³) | 6.8 (8.9) | 7.7 (11.2) |

Data are mean (SD) or n (%). EDSS=Expanded Disability Status Scale. *Self-reported. †Patients may have received more than one previous multiple sclerosis drug. ‡Scores on the EDSS ranged from 0 to 10, with higher scores indicating a greater degree of disability. §This patient had a score of 4.5 at the first screening visit but 5.5 at baseline.

Table 1: Baseline characteristics of the intention-to-treat population

| | Estriol group (n=82) | Placebo group (n=76) | Estriol group vs placebo group | p value |
|---|----------------------|----------------------|--------------------------------|---------|
| At 24 months | | | | |
| Confirmed relapse | | | | |
| Annualised relapse rate (95% CI) | 0.25 (0.17–0.37) | 0.37 (0.25–0.53) | 0.63 (0.37–1.05)* | 0.077 |
| Probability of first relapse (95% CI) | 33.3% (23.8–45.4)† | 42.9% (32.1–55.5)† | 0.63% (0.36–1.09)¶ | 0.096 |
| Relapse event | | | | |
| Annualised relapse rate (95% CI) | 0.32 (0.22–0.46) | 0.46 (0.32–0.65) | 0.65 (0.39–1.08)* | 0.098 |
| Probability of first relapse event (95% CI) | 40.5% (30.0–53.0)† | 46.9% (35.9–59.3)† | 0.70% (0.42–1.17)¶ | 0.179 |
| At 12 months | | | | |
| Confirmed relapse | | | | |
| Annualised relapse rate (95% CI) | 0.25 (0.16–0.40) | 0.48 (0.33–0.69) | 0.49 (0.28–0.88)* | 0.016 |
| Probability of first relapse (95% CI) | 22.8% (15.0–33.7)† | 33.1% (23.5–45.2)† | 0.58% (0.31–1.10)¶ | 0.095 |
| Relapse event | | | | |
| Annualised relapse rate (95% CI) | 0.33 (0.22–0.50) | 0.61 (0.44–0.84) | 0.52 (0.31–0.86)* | 0.012 |
| Probability of first relapse event (95% CI) | 30.7% (21.7–42.3)† | 40.3% (30.0–52.7)† | 0.65% (0.37–1.14)¶ | 0.131 |

The primary outcome was confirmed relapse rate at 24 months; other analyses at 24 months were secondary and analyses at 12 months were exploratory. *Adjusted rate ratio. †Percentage of patients with relapse. ¶Adjusted hazard ratio.

Table 2: Primary, secondary, and exploratory outcomes related to relapses

in designing the study. All authors had full access to all of the data in the study. The corresponding author had final responsibility for the decision to submit for publication.

Results

We screened 236 patients, 164 of whom we enrolled (figure 1). 158 participants received study drug and had at least one visit thereafter. Of the 158 patients, 82 were assigned to the estriol group and 76 were assigned to the placebo group. Baseline characteristics were balanced across groups (table 1). The proportion of complications was similar in each group (60 [73%] of 82 patients in the estriol group vs 56 [74%] of 76 in the placebo group).

After 24 months, the confirmed relapse rate was 0.25 relapses per year (95% CI 0.17–0.37) in the estriol group versus 0.37 relapses per year in the placebo group (0.25–0.53), with an adjusted rate ratio of 0.63 (0.37–1.05; $p=0.077$; table 2, appendix p 22). The annualised relapse event rate was also reduced in the estriol group compared with the placebo group (table 2, appendix p 22). Time to confirmed relapse was also significantly lower in the estriol group than in the placebo group according to our threshold of $p<0.1$, but time to relapse event was not (figure 2, table 2). We had similar results for the exploratory analyses of data up to 12 months: the annualised confirmed relapse rate was reduced in the estriol group compared with the placebo group, as were the annualised relapse event rate and the time to first confirmed relapse, but the time to first relapse event did not differ significantly between groups (table 2, appendix p 22).

Estriol was well tolerated, with no substantial differences between groups in the number or proportion of patients with serious adverse events (table 3). Laboratory abnormalities were the same in each group

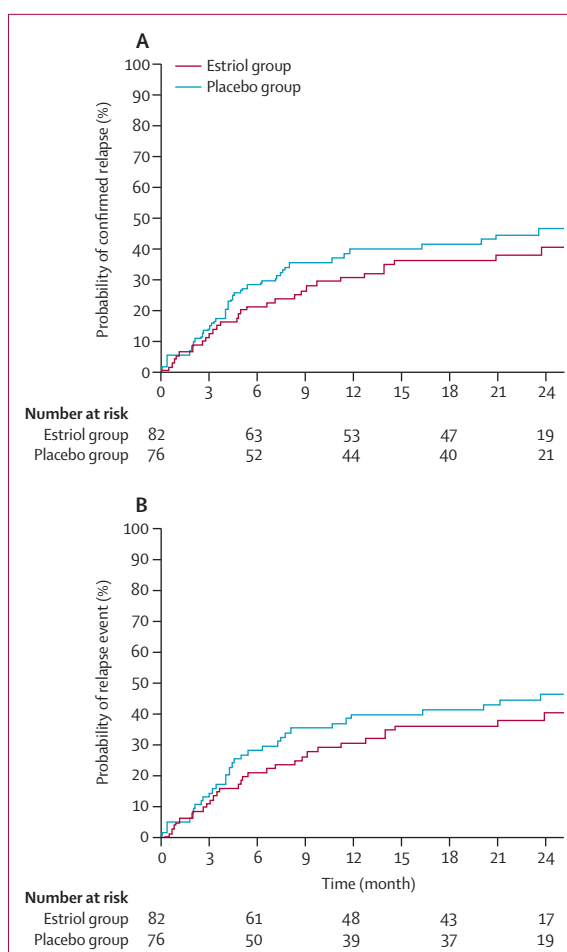


Figure 2: Kaplan-Meier plots of probability of first relapse

(A) Confirmed relapse over 24 months, and (B) relapse events over 24 months.

(data not shown). Irregular menses were more common in the estriol group than in the placebo group, whereas vaginal infections were less common (table 3). We recorded no substantial differences in breast fibrocystic disease and no mammograms were positive for malignancy (table 3). Uterine fibroids occurred in similar proportions in each group (table 3). There was no substantial difference between groups in the number of patients with uterine endometrial thickness greater than 8 mm (table 3). Finally, because oestrogen and progesterone can cause some irregularity in menstrual cycles, we used criteria for clinically relevant increased menstrual flow in an algorithm with uterine lining

thickness to trigger a uterine endometrial lining biopsy (detailed in the protocol). There was little difference between treatment groups in the proportion of patients who had these biopsies (table 3), and no biopsy showed abnormal proliferation.

Total serum estriol concentration increased in the estriol group (appendix pp 15, 23) and remained high through to 12 months. Estriol concentration then decreased, with a significant decrease by 24 months (16·2 ng/mL [SD 25·3] at 3 months vs 10·1 ng/mL [SD 6·9] at 24 months; difference 5·7, 95% CI 1·8–9·6; $p=0\cdot003$). When we assessed estriol concentrations in participants who completed the study, concentrations were again

| | Estriol group (n=82) | | Placebo group (n=76) | | p value |
|--|----------------------|-----------------|----------------------|-----------------|---------|
| | Events (n) | Patients (n; %) | Events (n) | Patients (n; %) | |
| Adverse events | | | | | |
| Any adverse event | 480 | 76 (93%) | 392 | 67 (88%) | 0.21 |
| Most common events | | | | | |
| Upper respiratory tract infection | 33 | 22 (27%) | 38 | 26 (34%) | 0.31 |
| Glatiramer acetate injection area abnormalities | 25 | 21 (26%) | 15 | 12 (16%) | 0.13 |
| Irregular menses or spotting | 26 | 19 (23%) | 4 | 3 (4%) | 0.0005 |
| Urinary tract infection | 23 | 15 (18%) | 16 | 10 (13%) | 0.34 |
| Fatigue | 15 | 13 (16%) | 10 | 8 (11%) | 0.30 |
| Depression or anxiety | 14 | 12 (15%) | 10 | 9 (12%) | 0.56 |
| Menstrual flow amount increased | 12 | 11 (13%) | 8 | 6 (8%) | 0.24 |
| Headache | 11 | 9 (11%) | 12 | 11 (14%) | 0.55 |
| Nausea or vomiting | 9 | 7 (9%) | 5 | 5 (7%) | 0.61 |
| Glatiramer acetate injection systemic reaction (dyspnoea, hot flushes) | 7 | 7 (9%) | 2 | 2 (3%) | 0.17 |
| Sinusitis | 6 | 6 (7%) | 14 | 10 (13%) | 0.24 |
| Arm or leg numbness, tingling | 7 | 6 (7%) | 10 | 7 (9%) | 0.70 |
| Gastroenteritis | 7 | 5 (6%) | 4 | 3 (4%) | 0.72 |
| Dizziness | 5 | 4 (5%) | 10 | 7 (9%) | 0.30 |
| Vision problems (blurry, double) | 6 | 4 (5%) | 7 | 7 (9%) | 0.30 |
| Back pain | 5 | 4 (5%) | 5 | 5 (7%) | 0.74 |
| Menstrual cramp | 4 | 4 (5%) | 5 | 4 (5%) | 1.00 |
| Insomnia | 4 | 4 (5%) | 4 | 4 (5%) | 1.00 |
| Heart palpitation | 2 | 2 (2%) | 4 | 4 (5%) | 0.43 |
| Shingles | 2 | 2 (2%) | 4 | 4 (5%) | 0.43 |
| Vaginal infection | 1 | 1 (1%) | 9 | 8 (11%) | 0.012 |
| Adverse events leading to discontinuation | 5 | 5 (6%) | 5 | 5 (6%) | 1.00 |
| Severe adverse events* | 8 | 8 (10%) | 12 | 10 (13%) | 0.54 |
| Relapse of multiple sclerosis† | 2 | 2 (2%) | 6 | 5 (7%) | 0.27 |
| Pregnancy termination | 2 | 2 (2%) | 0 | 0 | 0.50 |
| Urinary tract infection | 1 | 1 (1%) | 1 | 1 (1%) | 1.00 |
| Migraine headache-related eye pain | 1 | 1 (1%) | 0 | 0 | 1.00 |
| Heart failure or pacemaker implantation | 1 | 1 (1%) | 0 | 0 | 1.00 |
| Pyelonephritis | 1 | 1 (1%) | 0 | 0 | 1.00 |
| Sedation after taking non-prescribed non-study drug | 0 | 0 | 1 | 1 (1%) | 0.49 |
| Acute appendicitis | 0 | 0 | 1 | 1 (1%) | 0.49 |
| B-cell lymphoma | 0 | 0 | 1 | 1 (1%)‡ | 0.49 |
| Car accident caused by body numbness | 0 | 0 | 1 | 1 (1%) | 0.49 |
| Right knee replacement | 0 | 0 | 1 | 1 (1%) | 0.49 |

(Table 3 continues on next page)

(Table 3 continues on next page)

| | Estriol group (n=82) | | Placebo group (n=76) | | p value |
|---|----------------------|-----------------|----------------------|-----------------|---------|
| | Events (n) | Patients (n; %) | Events (n) | Patients (n; %) | |
| (Continued from previous page) | | | | | |
| Other safety events monitored | | | | | |
| Uterine | | | | | |
| Endometrium thickness >8 mm on ultrasound | 32 | 24 (29%) | 41 | 27 (36%) | 0.46 |
| Endometrial biopsy samples taken§ | 11 | 9 (11%) | 10 | 6 (8%) | 0.48 |
| Fibroids (on ultrasound) | 8 | 8 (10%) | 8 | 8 (11%) | 0.91 |
| Abnormal proliferation on biopsy | 0 | 0 | 0 | 0 | NA |
| Breast | | | | | |
| Fibrocystic disease on clinical examination | 5 | 5 (6%) | 4 | 4 (5%) | 1.00 |
| Mammogram with malignancy | 0 | 0 | 0 | 0 | NA |

Includes all patients who took at least one dose of study drug; however, the six patients who dropped out shortly after baseline visit did not have safety evaluation data and were excluded from the safety analysis. Laboratory abnormalities are only reported if they occurred in at least 5% of patients in either group. *All admitted to hospital, but none had severe or immediately life-threatening conditions. †Both patients in the estriol group discontinued the study, one before and one after 12 months; three patients in the placebo group discontinued the study, one before and two after 12 months. ‡This patient discontinued the study at the time of B-cell lymphoma diagnosis after being on the study for 12 months and died 17 months later. §Two patients had two biopsies each in the estriol group and two patients had three biopsies each in the placebo group; no abnormal proliferation was found.

Table 3: Adverse events and serious adverse events

Table 3: Adverse events and serious adverse events

significantly decreased between 3 months and 24 months (data not shown), suggesting poor compliance as the reason for the difference. In a post-hoc analysis, we assessed compliance using pill counts and calendars and found strong correlations between estriol concentrations and compliance in the estriol group (regression coefficient 1.12 [SE 0.34]; $p=0.001$). Overall, compliance at 24 months was much the same in the estriol group ($n=58$; mean 0.88, SD 0.19; median 0.96, IQR 0.87–0.99) and the placebo group ($n=55$; mean 0.89, SD 0.13; median 0.94, IQR 0.84–0.99). Estriol concentration was inversely correlated with relapses (regression coefficient -0.21 [SE 0.11]; $p=0.057$), and gadolinium-enhancing lesions (-0.77 [SE 0.34]; $p=0.028$).

We recorded significant improvements with estriol compared with placebo for fatigue after 24 months ($p=0.009$; table 4). Cognitive testing as measured by PASAT showed no differences at 24 months; however, at 12 months, PASAT scores were significantly greater in the estriol group than in the placebo group (table 4), and in a post-hoc analysis of patients with a score of less than the median of 55 at baseline, improvements at 12 months were 4.7 points in patients who received estriol and 1.6 in patients who received placebo ($p=0.011$), whereas there was no significant effect in patients with higher scores at baseline ($p=0.694$; table 4). We found no significant difference for EDSS, depression score, MS QoL, or MSFC (table 4). Because estriol concentrations were low at 24 months, which is when PASAT scores were no longer significantly improved in the estriol group compared with the placebo group, we assessed correlations between estriol concentration and PASAT score. Estriol concentration was directly correlated with PASAT score (regression coefficient 0.36 [SE 0.17]; $p=0.03$). We recorded similar benefits of estriol treatment on 7/24 Spatial Recall cognitive testing (appendix p 16).

Table 5 shows the results of prespecified exploratory and post-hoc MRI outcomes. We recorded no differences between groups for the prespecified endpoints related to enhancing or T2 lesions or whole brain volume. Post-hoc analyses showed a difference between groups at 12 months for cortical grey matter ($p=0.056$) and white matter ($p=0.090$). In patients without enhancing lesions at baseline, those in the estriol group had larger cortical grey matter volume than did those in the placebo group ($p=0.043$), whereas in patients who had enhancing lesions at baseline, those in the estriol group had smaller white matter volumes than did those in the placebo group (0.012). The appendix (p 24) shows localisation of the estriol treatment effect on grey matter by voxel-based morphometry.

Post-hoc analysis showed that cortical grey matter volume by MRI correlated directly with PASAT cognitive test improvement in estriol-treated participants (regression coefficient 0.82 [SE 0.38]; $p=0.032$), but not in placebo-treated participants (regression coefficient -0.17 [SE 0.38]; $p=0.660$).

Discussion

Estriol treatment reduced relapse rates compared with placebo in patients with relapsing-remitting multiple sclerosis. The relapse rate ratio between the two groups was nearly the same as in the original sample size calculation; however, the relapse rates for both groups were considerably lower than expected. As a result, the power of the study was reduced to 74%, but the primary endpoint of a reduction in annualised confirmed relapse rates at $p<0.1$ was reached. Our results suggest that estriol might have a role in decreased relapses during pregnancy.

Estriol is considered to be the safest oestrogen on the basis of data for its worldwide use for menopausal

| | Estriol group (n=82) | Placebo group (n=76) | Estriol group vs placebo group* | p value |
|---|------------------------------------|-----------------------------------|---------------------------------|---------|
| Percentage of patients with disability progression over 24 months (95% CI)† | 11.4 (5.9 to 21.7) | 15.8 (8.8 to 27.6) | 0.81 (0.32 to 2.07)‡ | 0.664 |
| EDSS score§ | | | | |
| Baseline (estriol group n=82, placebo group n=76) | 2.22 (1.16), 2.3 (1.5 to 3.0) | 2.13 (1.11), 2.0 (1.5 to 3.0) | | |
| Change from baseline at 12 months (estriol group n=69, placebo group n=63) | -0.13 (1.06), 0 (-0.5 to 0.5) | -0.06 (1.11), 0 (-0.5 to 0.5) | -0.14 (-0.47 to 0.19) | 0.404 |
| Change from baseline at 24 months (estriol group n=56, placebo group n=56) | -0.29 (0.98), -0.5 (-1.0 to 0.5) | -0.05 (1.13), 0.0 (-0.5 to 0.5) | -0.22 (-0.57 to 0.12) | 0.198 |
| Fatigue score§ | | | | |
| Baseline (estriol group n=82, placebo group n=76) | 33.7 (19.4), 32 (17.0 to 50.0) | 31.1 (19.0), 28.5 (17.0 to 44.5) | | |
| Change from baseline at 12 months (estriol group n=70, placebo group n=62) | -3.4 (13.5), -3 (-10 to 5) | -0.6 (15.1), -0.5 (-8 to 9) | -2.6 (-6.7 to 1.5) | 0.218 |
| Change from baseline at 24 months (estriol group n=58, placebo group n=56) | -5.0 (14.0), -3 (-10 to 4) | 0.5 (12.8), 1 (-6 to 7) | -5.7 (-10.0 to -1.4) | 0.009 |
| Depression score§ | | | | |
| Baseline (estriol group n=82, placebo group n=76) | 11.3 (9.2), 10 (4 to 17) | 10.9 (10.0), 9 (5 to 14) | | |
| Change from baseline at 12 months (estriol group n=70, placebo group n=62) | -1.6 (7.1), -2 (-5 to 1) | -0.6 (9.4), -0.5 (-5 to 3) | -0.6 (-2.9 to 1.6) | 0.583 |
| Change from baseline at 24 months (estriol group n=59, placebo group n=56) | -2.6 (6.0), -3 (-6 to 1) | -1.2 (6.8), -2 (-5 to 2) | -1.1 (-3.5 to 1.3) | 0.368 |
| MS QoL—physical score¶ | | | | |
| Baseline (estriol group n=82, placebo group n=76) | 64.3 (19.3), 64.2 (50.7 to 78.7) | 66.3 (19.0), 69.2 (49.4 to 83.0) | | |
| Change from baseline at 12 months (estriol group n=69, placebo group n=62) | 4.6 (12.2), 3.2 (-2.6 to 10.0) | 1.5 (16.2), 2.4 (-8.0 to 10.2) | 2.4 (-2.0 to 6.8) | 0.279 |
| Change from baseline at 24 months (estriol group n=58, placebo group n=56) | 5.1 (16.7), 3.9 (-2.4 to 12.2) | 3.1 (13.8), 4.6 (-5.0 to 11.4) | 2.2 (-2.3 to 6.8) | 0.338 |
| MS QoL—mental score¶ | | | | |
| Baseline (estriol group n=82, placebo group n=76) | 80.7 (24.1), 86.3 (68.2 to 98.7) | 83.5 (24.5), 92.9 (69.0 to 103.0) | | |
| Change from baseline at 12 months (estriol group n=69, placebo group n=62) | 3.0 (20.3), -0.8 (-8.8 to 13.5) | -0.5 (23.6), 0.2 (-10.7 to 12.2) | 2.1 (-4.3 to 8.5) | 0.525 |
| Change from baseline at 24 months (estriol group n=58, placebo group n=56) | 4.6 (20.2), 4.6 (-3.9 to 15.9) | 0.8 (20.7), 2.4 (-6.3 to 10.4) | 2.8 (-3.9 to 9.5) | 0.419 |
| MSFC score¶ | | | | |
| Baseline (estriol group n=82, placebo group n=76) | -0.04 (0.69), 0.05 (-0.46 to 0.47) | 0.06 (0.79), 0.24 (-0.44 to 0.75) | | |
| Change from baseline at 12 months (estriol group n=70, placebo group n=58) | 0.12 (0.37), 0.09 (-0.06 to 0.29) | 0.06 (0.38), 0.06 (-0.17 to 0.32) | 0.07 (-0.05 to 0.20) | 0.262 |
| Change from baseline at 24 months (estriol group n=60, placebo group n=54) | 0.10 (0.35), 0.09 (-0.06 to 0.29) | 0.08 (0.43), 0.10 (-0.21 to 0.35) | 0.03 (-0.10 to 0.16) | 0.629 |
| PASAT score¶ | | | | |
| Baseline (estriol group n=82, placebo group n=76) | 51.0 (8.9), 55 (45 to 58) | 52.3 (9.1), 56 (49 to 59) | | |
| All patients | | | | |
| Change from baseline at 12 months (estriol group n=70, placebo group n=61) | 1.93 (5.59), 1.0 (0.0 to 4.0) | 0.13 (4.46), 0.0 (-1.0 to 2.0) | 1.62 (-0.03 to 3.27) | 0.054 |
| Change from baseline at 24 months (estriol group n=60, placebo group n=55) | 1.07 (4.04), 1.0 (-1.5 to 3.0) | 1.11 (4.29), 0.0 (-1.0 to 3.0) | -0.11 (-1.81 to 1.60) | 0.902 |
| Patients with baseline score <55 | | | | |
| Change from baseline at 12 months (estriol group n=33, placebo group n=25) | 4.70 (6.56), 4.0 (2.0 to 6.0) | 1.60 (5.99), 1.0 (0.0 to 5.0) | 3.00 (0.68 to 5.32) | 0.011 |
| Change from baseline at 24 months (estriol group n=26, placebo group n=23) | 2.31 (5.25), 3.5 (-3.0 to 6.0) | 2.96 (5.88), 4.0 (-2.0 to 6.0) | -0.33 (-2.74 to 2.07) | 0.785 |
| Patients with baseline score ≥55 | | | | |
| Change from baseline at 12 months (estriol group n=37, placebo group n=36) | -0.54 (2.88), 0.0 (-1.0 to 1.0) | -0.89 (2.62), 0.0 (-1.5 to 0.5) | 0.43 (-1.71 to 2.57) | 0.694 |
| Change from baseline at 24 months (estriol group n=34, placebo group n=32) | 0.12 (2.47), 0.0 (-1.0 to 1.0) | -0.22 (1.79), 0.0 (-1.0 to 1.0) | 0.04 (-2.14 to 2.22) | 0.971 |

All outcomes were exploratory, except for analysis of PASAT score above and below 55, which was post hoc. MS QoL=Multiple Sclerosis Quality of Life. PASAT=Paced Auditory Serial Addition Test. MSFC=MS Functional Composite. EDSS=Expanded Disability Status Scale. Data are mean (SD), median (IQR), unless stated otherwise. *Data are mean difference (95% CI) unless stated otherwise. †Calculated with the Kaplan-Meier product-limit method; progression defined as EDSS increase of at least 1.0 point in participants with a baseline score of 1.0 or higher, or an increase of at least 1.5 points in participants with a baseline score of 0, each sustained for at least 6 months. ‡Adjusted hazard ratio (95% CI), estimated by Cox proportional hazard regression; adjusted for age and baseline EDSS (<2 vs ≥2). §A negative change indicates improvement. ¶A positive change indicates improvement. ||To estimate the difference of PASAT score change between the two study groups for patients with baseline PASAT scores above or below the median, we included dichotomised baseline PASAT score (<55 vs ≥55) and its interaction terms with treatment and month in the model and all patients' follow-up data were used; $p_{\text{interaction}}=0.038$ for 24 months, $p_{\text{interaction}}=0.092$ for 12 months.

Table 4: Exploratory and post-hoc endpoints related to disability

symptoms over 40 years.¹¹⁻¹³ The standard daily dose for menopause symptoms (2 mg) is generally lower than the dose we used, although some studies have used up to 16 mg. In this study, a dose of 8 mg induced an estriol concentration equivalent to that present early in the second trimester of pregnancy, consistent with previous findings.⁷ Because estriol concentrations continue to increase during pregnancy, the blood concentration induced by estriol treatment was below that usually

present in the third trimester, when the effect of pregnancy in protection against relapse is greatest.² Even so, we postulated that the concentration achieved might be sufficient to exert protective effects. We targeted second trimester levels to induce third trimester protection. This dose was safe and well tolerated, including in the uterus and breast. However, as is the case with all new drugs, the long-term effects will be unknown until larger and longer studies are done.

The greater occurrence of irregular menses in the estriol group than in the placebo group was not surprising because oral contraceptives are known to cause menstrual irregularity, and estriol decreases vaginal flora and promotes urogenital health.^{32,33} If estriol was not safer than other oestrogens, the risk-to-benefit

| | Estriol group (n=82) | Placebo group (n=76) | Estriol group vs placebo group* | p value |
|--|--------------------------------------|--------------------------------------|---------------------------------|---------|
| Enhancing lesion volume† | | | | |
| At baseline (mean [SD], median [IQR]) | 79.7 (220), 0.0 (0.0 to 32) | 54.2 (126), 0.0 (0.0 to 25.0) | | |
| Change from baseline at 12 months | n=69 | n=62 | | |
| Mean (SD), median (IQR) | -51.2 (202), 0.0 (-21.6 to 0.0) | -18.7 (184), 0.0 (0.0 to 0.0) | -12.5 (-69.0 to 44.1) | 0.665 |
| Change from baseline at 24 months | n=55 | n=55 | | |
| Mean (SD), median (IQR) | -39.3 (196), 0.0 (-29.0 to 0.0) | -34.0 (120), 0.0 (-13.9 to 0.0) | -1.6 (-62.6 to 59.5) | 0.960 |
| Number of enhancing lesions† | | | | |
| At baseline (mean [SD], median [IQR]) | 1.0 (2.3), 0.0 (0.0 to 1.0) | 0.9 (2.1), 0.0 (0.0 to 1.0) | | |
| Change from baseline at 12 months | n=68 | n=62 | | |
| Mean (SD), median (IQR) | -0.9 (2.2), 0.0 (-1.0 to 0.0) | -0.5 (1.8), 0.0 (0.0 to 0.0) | 0.89 (0.54 to 1.45) | 0.631 |
| Change from baseline at 24 months | n=55 | n=55 | | |
| Mean (SD), median (IQR) | -0.9 (2.5), 0.0 (-1 to 0.0) | -0.5 (2.4), 0.0 (-1 to 0.0) | 0.89 (0.54 to 1.48) | 0.655 |
| Proportion of patients with enhancing lesion on MRI | | | | |
| At baseline (%; 95% CI) | 32.1 (21.9 to 42.3) | 29.3 (19.0 to 39.6) | | |
| At 12 months (%; 95% CI) | 14.5 (6.2 to 22.8) | 21.0 (10.8 to 31.1) | 0.30 (0.07 to 1.31) | 0.110 |
| At 24 months (%; 95% CI) | 14.6 (5.2 to 23.9) | 14.6 (5.2 to 23.9) | 0.66 (0.13 to 3.40) | 0.616 |
| Total T2 volume† | | | | |
| At baseline (mean [SD], median [IQR]) | 6.8 (8.9), 3.9 (1.3 to 8.5) | 7.7 (11.1), 3.0 (1.4 to 8.3) | | |
| Change from baseline at 12 months | n=69 | n=63 | | |
| Mean (SD), median (IQR) | 1.5 (3.4), 0.6 (0.0 to 2.8) | 1.5 (3.1), 0.6 (0.1 to 2.3) | -0.0 (-1.0 to 1.0) | 0.938 |
| Change from baseline at 24 months | n=56 | n=56 | | |
| Mean (SD), median (IQR) | 2.3 (4.0), 0.8 (-0.1 to 3.0) | 1.4 (2.7), 0.7 (0.0 to 2.1) | 0.7 (-0.3 to 1.8) | 0.174 |
| Brain volume at baseline (all patients)§ | | | | |
| At baseline (mean [SD], median [IQR]) | | | | |
| Whole brain | 1604 (62), 1607 (1571 to 1651) | 1602 (51), 1602 (1569 to 1635) | | |
| Whole grey matter | 954 (51), 957 (917 to 985) | 926 (52), 967 (931 to 1002) | | |
| Cortical grey matter | 754 (46), 755 (722 to 784) | 761 (42), 762 (738 to 790) | | |
| White matter | 650 (35), 650 (630 to 670) | 640 (37), 635 (612 to 664) | | |
| Percentage change from baseline (mean [SD], median [IQR])‡ | | | | |
| Whole brain at 12 months | -0.50 (0.70), -0.49 (-0.93 to 0.00) | -0.50 (0.64), -0.43 (-1.04 to -0.12) | -0.00 (-0.23 to 0.23) | 0.988 |
| Whole brain at 24 months | -0.89 (0.82), -1.00 (-1.23 to -0.33) | -0.78 (0.73), -0.91 (-1.26 to -0.12) | -0.02 (-0.26 to 0.22) | 0.877 |
| Whole grey matter at 12 months | -0.48 (0.82), -0.50 (-0.99 to -0.06) | -0.69 (0.71), -0.68 (-1.19 to -0.16) | 0.21 (-0.05 to 0.47) | 0.108 |
| Whole grey matter at 24 months | -0.96 (0.75), -0.94 (-1.35 to -0.52) | -0.95 (0.76), -0.93 (-1.47 to -0.34) | 0.12 (-0.16 to 0.39) | 0.411 |
| Cortical grey matter at 12 months | -0.44 (0.92), -0.51 (-0.99 to -0.11) | -0.72 (0.80), -0.67 (-1.32 to -0.13) | 0.29 (-0.01 to 0.58) | 0.056 |
| Cortical grey matter at 24 months | -0.96 (0.86), -0.94 (-1.35 to -0.42) | -1.04 (0.87), -0.93 (-1.57 to -0.35) | 0.23 (-0.09 to 0.54) | 0.156 |
| White matter at 12 months | -0.51 (1.06), -0.16 (-1.16 to 0.22) | -0.20 (0.83), -0.11 (-0.67 to 0.41) | -0.29 (-0.63 to 0.04) | 0.090 |
| White matter at 24 months | -0.77 (1.28), -0.66 (-1.33 to -0.09) | -0.54 (1.12), -0.56 (-1.18 to 0.38) | -0.20 (-0.56 to 0.15) | 0.261 |
| Brain volume (no enhancing lesions at baseline) | | | | |
| Percentage change from baseline (mean [SD], median [IQR])‡ | | | | |
| Whole brain at 12 months | -0.35 (0.58), -0.41 (-0.67 to 0.03) | -0.49 (0.63), -0.43 (-1.01 to -0.09) | 0.10 (-0.16 to 0.36) | 0.429 |
| Whole brain at 24 months | -0.72 (0.80), -0.87 (-1.12 to -0.29) | -0.80 (0.76), -0.99 (-1.31 to -0.09) | 0.08 (-0.19 to 0.36) | 0.540 |
| Whole grey matter at 12 months | -0.45 (0.77), -0.48 (-0.98 to -0.06) | -0.71 (0.74), -0.68 (-1.20 to -0.16) | 0.24 (-0.05 to 0.53) | 0.106 |
| Whole grey matter at 24 months | -0.94 (0.81), -0.91 (-1.71 to -0.62) | -0.99 (0.78), -0.93 (-1.56 to -0.35) | 0.14 (-0.16 to 0.45) | 0.358 |
| Cortical grey matter at 12 months | -0.39 (0.89), -0.43 (-0.97 to -0.01) | -0.74 (0.84), -0.64 (-1.41 to -0.09) | 0.34 (0.01 to 0.67) | 0.043 |
| Cortical grey matter at 24 months | -0.96 (0.89), -0.98 (-1.91 to -0.54) | -1.11 (0.89), -0.93 (-1.64 to -0.35) | 0.29 (-0.06 to 0.63) | 0.106 |
| White matter at 12 months | -0.19 (0.95), 0.06 (-0.69 to 0.41) | -0.14 (0.72), -0.11 (-0.58 to 0.43) | -0.10 (-0.48 to 0.28) | 0.620 |
| White matter at 24 months | -0.41 (1.13), -0.35 (-0.93 to 0.09) | -0.51 (1.18), -0.51 (-1.04 to 0.38) | -0.00 (-0.40 to 0.39) | 0.988 |

(Table 5 continues on next page)

| | Estriol group (n=82) | Placebo group (n=76) | Estriol group vs placebo group* | p value |
|---|--------------------------------------|--------------------------------------|---------------------------------|---------|
| (Continued from previous page) | | | | |
| Brain volume (patients with enhancing lesions at baseline) | | | | |
| Percentage change from baseline (mean [SD], median [IQR])‡ | | | | |
| Whole brain at 12 months | -0.81 (0.77), -0.65 (-1.31 to -0.29) | -0.53 (0.67), -0.49 (-1.14 to -0.19) | -0.23 (-0.58 to 0.12) | 0.206 |
| Whole brain at 24 months | -1.13 (0.82), -1.04 (-1.30 to -0.88) | -0.74 (0.67), -0.66 (-1.12 to -0.34) | -0.25 (-0.61 to 0.11) | 0.182 |
| Whole grey matter at 12 months | -0.61 (0.84), -0.54 (-1.05 to -0.26) | -0.65 (0.64), -0.64 (-1.08 to -0.15) | 0.08 (-0.31 to 0.47) | 0.698 |
| Whole grey matter at 24 months | -1.00 (0.68), -0.98 (-1.22 to -0.49) | -0.83 (0.71), -0.92 (-1.25 to -0.28) | -0.02 (-0.42 to 0.38) | 0.923 |
| Cortical grey matter at 12 months | -0.60 (0.92), -0.57 (-1.10 to -0.26) | -0.67 (0.72), -0.69 (-0.98 to -0.19) | 0.08 (-0.37 to 0.53) | 0.722 |
| Cortical grey matter at 24 months | -0.95 (0.85), -0.93 (-1.39 to -0.23) | -0.82 (0.80), -0.93 (-1.29 to -0.27) | 0.02 (-0.44 to 0.48) | 0.919 |
| White matter at 12 months | -1.09 (1.03), -0.92 (-2.00 to -0.18) | -0.36 (1.06), -0.13 (-0.69 to 0.16) | -0.67 (-1.19 to -0.15) | 0.012 |
| White matter at 24 months | -1.32 (1.31), -1.20 (-2.11 to -0.57) | -0.62 (0.97), -0.84 (-1.47 to 0.24) | -0.58 (-1.11 to -0.04) | 0.034 |
| Enhancing lesion volume and number, total T2 volume, and whole brain volume were exploratory outcomes. Because of the distribution of the data (with few patients having enhancing lesions), the most appropriate approach to assess enhancing lesion volume and number was to assess the proportion of patients with enhancing lesion activity. Whole grey matter, cortical grey matter, and white matter volumes, stratified by patients with or without enhancing lesions at baseline, were post-hoc outcomes. *Data are mean difference (95% CI) except for number of enhancing lesions, which is mean of lesions number ratio (95% CI), and for proportion of patients with enhancing lesion on MRI, which is odds ratio (95% CI). ‡Negative change indicates improvement. §Negative change indicates worsening. ¶To estimate the difference of brain volume change between the two study groups for patients with and without enhancing lesions at baseline, we included baseline enhancing lesion number (present vs absent) in the model and used follow-up data for all patients; p _{interaction} =0.058 for white matter at 12 months. | | | | |
| Table 5: Exploratory and post-hoc MRI endpoints | | | | |

ratio of oral contraceptives and hormone replacement would be controversial in healthy individuals for whom no toxic effects are acceptable. However, for patients with a disabling disease such as multiple sclerosis, the risk-to-benefit ratio is different.

Some patients who experienced menstrual irregularity might have then deduced their treatment allocation, but follow-up questionnaires did not suggest substantial unmasking. Furthermore, unmasking would not have affected the primary outcome because each relapse was confirmed by an increase in the EDSS score as determined by an independent examiner who was not aware of adverse events.

Post-hoc MRI studies using volumetry at 12 months showed less cortical grey matter atrophy in the estriol group than in the placebo group. This effect was independently confirmed by voxel-based morphometry to show which grey matter regions were preserved by treatment. Furthermore, patients in the estriol group without enhancing lesions had less cortical grey matter atrophy than did those in the placebo group, suggesting a direct neuroprotective effect independent from anti-inflammatory effects, which is consistent with preclinical studies.⁶

Cortical grey matter atrophy on brain MRI has been associated with cognitive dysfunction in patients with multiple sclerosis.^{34,35} In addition, oestrogen treatment improves cognitive dysfunction in women without multiple sclerosis who have had ovariectomy,³⁶ and oestrogen treatment of ovariectomised animals increases dendritic spines and synapses in cerebral grey matter.^{37–39} We showed that higher serum estriol concentrations might be needed for beneficial effects on cognition. Cortical grey matter sparing in the estriol group

compared with the placebo group was lost at 24 months, when both estriol concentrations and PASAT scores had decreased. Indeed, we detected correlations between PASAT improvement and cortical grey matter sparing in all participants and in the estriol group, but not in the placebo group.

By contrast, patients in the estriol group with enhancing lesions had more white matter atrophy compared with those in the placebo group at both 12 months and 24 months, consistent with pseudoatrophy.⁴⁰ A pseudoatrophy or anti-inflammatory effect in white matter in the estriol group would be consistent with the reduction in relapse rates. Whether maintenance of higher estriol concentrations at 24 months in all participants in the estriol group could have resulted in more robust effects on relapses or enhancing lesions is unknown. Achieving large reductions in these outcomes at 24 months compared with 12 months was challenging given: (1) the low level of relapse activity in this population, as shown by the few enhancing lesions at baseline, (2) the small sample size, and (3) the fact that all patients were treated with glatiramer acetate, which reduces relapse rates within 24 months of starting treatment.²⁶ Future studies with participants with more actively relapsing disease, a larger sample size, or placebo alone as a comparator would be necessary to test the effect of sustained concentrations of estriol.

Limitations of our study included the small sample size, requiring our findings to be tested in a larger phase 3 study. Although treatment with estriol was safe and well tolerated for 24 months, assessing the long-term risk of treatment with estriol will require larger, longer studies, as well as post-marketing experience. Our findings might encourage pilot trials for other

cell-mediated autoimmune diseases that go into remission during pregnancy—ie, rheumatoid arthritis and psoriasis. However, estriol treatment should not be considered in primarily antibody-mediated autoimmune diseases such as lupus, since lupus tends to worsen during pregnancy.⁵ Whether estriol treatment could be used in men with multiple sclerosis is unknown. Estriol treatment was protective in male mice in preclinical studies,⁶ so it could also be efficacious in men. However, because estriol treatment in men does not have the history of widespread use that treatment in women has, early phase safety studies are needed before its efficacy in men with multiple sclerosis can be addressed. Although menstrual effects would not be an issue in men, breast enlargement or possibly other unexpected adverse events could occur.

In view of the current practice of aggressive treatment of relapsing-remitting multiple sclerosis to reduce disease activity as soon as possible after diagnosis,⁴¹ a phase 3 trial of estriol in combination with glatiramer acetate is warranted. In addition, further studies are needed to investigate estriol for progressive multiple sclerosis given the neuroprotective effects of oestrogens,⁶ and our promising exploratory findings of sparing grey matter atrophy and improving cognition. Finally, because estriol is a simple biological molecule, it would be less expensive than many treatments⁴² and more accessible to economically disadvantaged patients throughout the world.

Contributors

RRV, HW, and RE designed the study. TCJW, JB, JTB, JRC, AHC, SD-J, CCF, EMF, BG, DJ, LHK, SL, GP, MKR, ATR, JR, and DMW collected data. RRV, HW, TCJW, NLS, KN, FK, NI, JB, AJM-G, DLA, CHT, and RE analysed the data. RRV, HW, TCJW, NLS, KN, FK, AJM-G, DLA, CHT, and RE wrote the report. RRV, HW, TCJW, NLS, KN, FK, NI, JTB, JRC, AHC, SD-J, CCF, EMF, BG, DJ, LHK, SL, GP, MKR, ATR, JR, DMW, AJM-G, DLA, CHT, and RE interpreted data and revised the report.

Data safety and monitoring board

L Weiner (Chair; University of Southern California, Los Angeles, CA, USA), G Cutter (University of Alabama at Birmingham, Birmingham, AL, USA), J Liu (Case School of Medicine, Cleveland, OH, USA), I Metz (Hotchkiss Brain Institute, Calgary, AB, Canada), J Simon (Portland VA, Portland, OR, USA), J Odenkirchen, R Conwit, U Utz (National Institute of Neurological Disorders and Stroke, Bethesda, MD, USA), P O'Looney, L Skutnik, B Bebo, K Costello (National Multiple Sclerosis Society, New York, NY, USA). Independent medical monitor: M Burnett (University of Southern California, Los Angeles, CA, USA).

Declaration of interests

RRV has received research grants from the National Institutes of Health and National Multiple Sclerosis Society, has received personal payment as a consultant from Synthetic Biologics, and is an inventor on a patent for estriol owned by UCLA. DLA has received payment from NeuroRx, has received research grant support from Biogen, and has received personal payment from Biogen, EMD Serono, Genentech, Genzyme, Hoffman-La Roche, Innate Immunotherapy, MedImmune, Mitsubishi, Novartis, Receptos, Acorda, Sanofi-Aventis, Teva, and Xenoport. JTB has received payment for consultation from Novartis, and research grant support from Biogen Idec. JRC has received research grants from National Multiple Sclerosis Society, Novartis, Sun Pharma, and Diogenix, and has received personal payment from Novartis, Teva, and Biogen Idec. AHC has received personal payment for consulting, acting on a scientific advisory board, or speaking from Biogen Idec, Genzyme/Sanofi-Aventis, Hoffman-La Roche, Teva Neuroscience,

Novartis, and Mallinckrodt, and has received research support from Hoffman-La Roche, EMD Serono, and Teva Neuroscience. SD-J has received personal payment for consulting, acting on a scientific advisory board, or speaking from Serono, Novartis, Bayer, Teva, Genentech, Genzyme, Mallinckrodt, and TG Pharmaceuticals, has received research support from Teva, Biogen Idec, and Serono, and has received research grants from Teva and Biogen Idec. EMF has received personal payment for speaking and consultation from Teva, Genzyme, Novartis, and Acorda. BG's family holds stock in Biogen and Pfizer. DJ has received personal payment for consulting and acting on a scientific advisory board or speaking from Questcor Pharmaceuticals, Teva Neuroscience, and Genzyme, and has received clinical trial support from Biogen Idec and Novartis. SL has received research support from Novartis, Biogen, Teva, Genzyme, Genentech, Berlex, Cognition Pharmaceuticals, UCB Pharmaceuticals, Serono, Acorda, Sun Pharma, Opexa, and Actelion. MKR has received personal payment for consulting, acting on a scientific advisory board, or speaking from Biogen Idec, Revalesio, Novartis, and Roche, and has received research support from Diogenix. ATR has received payment for editorial activities from Medlink/Neurobase. JR has received research support from Teva and Biogen. DMW has received research support from Genentech, Genzyme, Alexion, and TerumoBCT. JB, RE, CCF, NI, LHK, FK, AJM-G, KN, GP, NLS, CHT, HW, and TCJW declare no competing interests.

Acknowledgments

This work is dedicated to Idolia "Dodie" Voskuhl (1923–2015), mother of Rhonda Voskuhl. We acknowledge the MRI technical assistance of Michael Montag. Synthetic Biologics provided estriol and placebo, free of charge. This study was funded by the National Institutes of Health, National Multiple Sclerosis Society, Conrad N Hilton Foundation, Jack H Skirball Foundation, Sherak Family Foundation, and the California Community Foundation.

References

- 1 Trojano M, Tortorella C. MS and related disorders: looking for markers of phenotypes. *Lancet Neurol* 2015; **14**: 11–13.
- 2 Confavreux C, Hutchinson M, Hours MM, Cortinovis-Tourniaire P, Moreau T. Rate of pregnancy-related relapse in multiple sclerosis. Pregnancy in Multiple Sclerosis Group. *N Engl J Med* 1998; **339**: 285–91.
- 3 Lindberg BS, Johansson ED, Nilsson BA. Plasma levels of nonconjugated oestrone, oestradiol-17beta and oestriol during uncomplicated pregnancy. *Acta Obstet Gynecol Scand Suppl* 1974; **32**: 21–36.
- 4 Wegmann TG, Lin H, Guilbert L, Mosmann TR. Bidirectional cytokine interactions in the maternal-fetal relationship: is successful pregnancy a TH2 phenomenon? *Immunol Today* 1993; **14**: 353–56.
- 5 Whitacre CC, Reingold SC, O'Looney PA. A gender gap in autoimmunity. *Science* 1999; **283**: 1277–78.
- 6 Spence RD, Voskuhl RR. Neuroprotective effects of estrogens and androgens in CNS inflammation and neurodegeneration. *Front Neuroendocrinol* 2012; **33**: 105–15.
- 7 Sicotte NL, Liva SM, Klutch R, et al. Treatment of multiple sclerosis with the pregnancy hormone estriol. *Ann Neurol* 2002; **52**: 421–28.
- 8 Gold SM, Sasidhar MV, Morales LB, et al. Estrogen treatment decreases matrix metalloproteinase (MMP)-9 in autoimmune demyelinating disease through estrogen receptor alpha (ERalpha). *Lab Invest* 2009; **89**: 1076–83.
- 9 Soldan SS, Retuerto AI, Sicotte NL, Voskuhl RR. Immune modulation in multiple sclerosis patients treated with the pregnancy hormone estriol. *J Immunol* 2003; **171**: 6267–74.
- 10 Brinton LA, Lacey JV Jr, Trimble EL. Hormones and endometrial cancer—new data from the Million Women Study. *Lancet* 2005; **365**: 1517–18.
- 11 Granberg S, Eurenius K, Lindgren R, Wilhelmsson L. The effects of oral estriol on the endometrium in postmenopausal women. *Maturitas* 2002; **42**: 149–56.
- 12 Lauritzen C. Results of a 5 years prospective study of estriol succinate treatment in patients with climacteric complaints. *Horm Metab Res* 1987; **19**: 579–84.
- 13 Takahashi K, Manabe A, Okada M, Kurioka H, Kanasaki H, Miyazaki K. Efficacy and safety of oral estriol for managing postmenopausal symptoms. *Maturitas* 2000; **34**: 169–77.

- 14 Rossouw JE, Anderson GL, Prentice RL. Risks and benefits of estrogen plus progestin in healthy postmenopausal women: principal results from the Women's Health Initiative randomized controlled trial. *JAMA* 2002; **288**: 321–33.
- 15 Marchbanks PA, McDonald JA, Wilson HG, et al. Oral contraceptives and the risk of breast cancer. *N Engl J Med* 2002; **346**: 2025–32.
- 16 Eckler K. Are all estrogens created equal? *Menopause* 2004; **11**: 7–8.
- 17 Enmark E, Gustafsson JA. Oestrogen receptors - an overview. *J Intern Med* 1999; **246**: 133–38.
- 18 Lemon HM. Oestriol and prevention of breast cancer. *Lancet* 1973; **1**: 546–47.
- 19 Weiss NS, Sayvetz TA. Incidence of endometrial cancer in relation to the use of oral contraceptives. *N Engl J Med* 1980; **302**: 551–54.
- 20 Polman CH, Reingold SC, Banwell B, et al. Diagnostic criteria for multiple sclerosis: 2010 revisions to the McDonald criteria. *Ann Neurol* 2011; **69**: 292–302.
- 21 Zelen M. The randomization and stratification of patients to clinical trials. *J Chronic Dis* 1974; **27**: 365–75.
- 22 Nakamura K, Guizard N, Fonov VS, Narayanan S, Collins DL, Arnold DL. Jacobian integration method increases the statistical power to measure gray matter atrophy in multiple sclerosis. *Neuroimage Clin* 2014; **4**: 10–17.
- 23 Nakamura K, Guizard N, Fonov VS, Narayanan S, Collins DL, Arnold DL. Jacobian integration method increases the statistical power to measure gray matter atrophy in multiple sclerosis. *Neuroimage Clin* 2013; **4**: 10–17.
- 24 Kurth F, Luders E, Sicotte NL, et al. Neuroprotective effects of testosterone treatment in men with multiple sclerosis. *Neuroimage Clin* 2014; **4**: 454–60.
- 25 Jacobs LD, Cookfair DL, Rudick RA, et al. Intramuscular interferon beta-1a for disease progression in relapsing multiple sclerosis. The Multiple Sclerosis Collaborative Research Group (MSCRG). *Ann Neurol* 1996; **39**: 285–94.
- 26 Comi G, Filippi M, Wolinsky JS. European/Canadian multicenter, double-blind, randomized, placebo-controlled study of the effects of glatiramer acetate on magnetic resonance imaging—measured disease activity and burden in patients with relapsing multiple sclerosis. European/Canadian Glatiramer Acetate Study Group. *Ann Neurol* 2001; **49**: 290–97.
- 27 Rubinstein LV, Korn EL, Freidlin B, Hunsberger S, Ivy SP, Smith MA. Design issues of randomized phase II trials and a proposal for phase II screening trials. *J Clin Oncol* 2005; **23**: 7199–206.
- 28 Palesch YY, Tilley BC, Sackett DL, Johnston KC, Woolson R. Applying a phase II futility study design to therapeutic stroke trials. *Stroke* 2005; **36**: 2410–14.
- 29 Miller RG, Block G, Katz JS, et al. Randomized phase 2 trial of NP001-a novel immune regulator: Safety and early efficacy in ALS. *Neurol Neuroimmunol Neuroinflamm* 2015; **2**: e100.
- 30 Elm JJ, Goetz CG, Ravina B, et al. A responsive outcome for Parkinson's disease neuroprotection futility studies. *Ann Neurol* 2005; **57**: 197–203.
- 31 Vickrey BG, Hays RD, Harooni R, Myers LW, Ellison GW. A health-related quality of life measure for multiple sclerosis. *Qual Life Res* 1995; **4**: 187–206.
- 32 Brandberg A, Mellstrom D, Samsioe G. Low dose oral estriol treatment in elderly women with urogenital infections. *Acta Obstet Gynecol Scand Suppl* 1987; **140**: 33–38.
- 33 Raz R, Stamm WE. A controlled trial of intravaginal estriol in postmenopausal women with recurrent urinary tract infections. *N Engl J Med* 1993; **329**: 753–76.
- 34 Amato MP, Portaccio E, Goretti B, et al. Association of neocortical volume changes with cognitive deterioration in relapsing-remitting multiple sclerosis. *Arch Neurol* 2007; **64**: 1157–61.
- 35 Sbardella E, Petsas N, Tona F, et al. Assessing the correlation between grey and white matter damage with motor and cognitive impairment in multiple sclerosis patients. *PLoS One* 2013; **8**: e63250.
- 36 Verghese J, Kuslansky G, Katz MJ, et al. Cognitive performance in surgically menopausal women on estrogen. *Neurology* 2000; **55**: 872–74.
- 37 Kramar EA, Babayan AH, Gall CM, Lynch G. Estrogen promotes learning-related plasticity by modifying the synaptic cytoskeleton. *Neuroscience* 2013; **239**: 3–16.
- 38 Smejkalova T, Woolley CS. Estradiol acutely potentiates hippocampal excitatory synaptic transmission through a presynaptic mechanism. *J Neurosci* 2010; **30**: 16137–48.
- 39 Ziehn MO, Avedisian AA, Dervin SM, O'Dell TJ, Voskuhl RR. Estriol preserves synaptic transmission in the hippocampus during autoimmune demyelinating disease. *Lab Invest* 2012; **92**: 1234–45.
- 40 Zivadinov R, Reder AT, Filippi M, et al. Mechanisms of action of disease-modifying agents and brain volume changes in multiple sclerosis. *Neurology* 2008; **71**: 136–44.
- 41 Nixon R, Bergvall N, Tomic D, Sfikas N, Cutter G, Giovannoni G. No evidence of disease activity: indirect comparisons of oral therapies for the treatment of relapsing-remitting multiple sclerosis. *Adv Ther* 2014; **31**: 1134–54.
- 42 Stuve O, Cutter GR. Multiple sclerosis drugs: how much bang for the buck? *Lancet Neurol* 2015; **14**: 460–61.